

Expected Outcomes for Accredited Certification in ISO 37001.

SUBJECT: Application of ANAB accreditation rule 41.

APPLIES TO: Applicable for certifications issued in ISO 37001:2016 and Global Standards Management System.

PREFACE:

The purpose of this document is to list and determine the processes, documents and / or activities aimed at promoting the anti-bribery approach that, as a certification body and / or certified organization to which they are subject, in the ISO 37001: 2016 standard.

RESPONSIBLES:

*Certified Organization.

*Global Standards and its personnel.

Processes, documents and other GlobalSTD activities.

1. Internal Trainings and Auditor Profile /for details see [procedure for Internal training and auditor profile](#).

- Include training and knowledge of the anti-bribery approach to auditors, the Certification Committee and other areas involved.

2. Audit Process /for details see [audit process](#).

- Include the references of the procedure for the application and the client's expectations regarding the diffusion and anti-bribery approach internally and externally.
- Include the client disclosure review in the audit report, as an element to review.

3. Process of the Certification Committee / for more details see [processes and documents of the Certification Committee](#).

- The review and documents involved should be included in the dissemination review and / or activities to promote an anti-bribery approach (specifically, the Certification Committee's checklist).

4. Management System / to see more details consult [Management System and the mentioned documents](#).

- The system will include an anti-bribery statement in: Quality Manual.
- All required processes and documents must be updated to be included in the anti-bribery approach.
- The necessary implementation for processes, documents and involved personnel will be made (example AMEF)

4. Global Standards Internal and External communication/ to see more details, visit the [website and social networks](#).

- Global STD will spread the anti-bribery culture through a permanent campaign by web publications and social networks.

6. Impartiality Committee/ for more details, see the [process and the impartiality committee documents](#).

- The Impartiality Committee will include in the annual meeting the vote to safeguard the approach and the correct inclusion of anti-bribery in Global Standards and its operations.

Expected Outcomes for Accredited Certification in ISO 37001

Introduction.

ISO 37001 is an anti-bribery management system standard published in October 2016. It is designed to help an organization establish, implement, maintain, and improve an anti-bribery compliance programme. It includes a series of measures and controls that represent global anti-bribery good practices.

Expected Outcomes for Accredited Certification in ISO 37001.

The purpose of the accredited certification process is to ensure that the organization has an anti-bribery management system that complies with the applicable requirements of ISO 37001. In particular, the expected results of the organization are:

- A. Assure management, investors, employees, customers and other interested parties that the organization is taking reasonable measures to prevent bribery;
- B. Maintain the principles of organization and implementation of all levels of the organization, to reduce the risk of bribery or corruption in the organization and in all projects associated with the organization;
- C. Detect, opportunistly or in a preventive manner, any suspicion in relation to bribery or corruption in financial and / or commercial matters;
- D. Permeate and maintain an anti-bribery or anti-corruption culture at all levels of the organization, including stakeholders (partners, customers, suppliers, employees, society, among others);
- E. Evidence in the event of an investigation that an organization has taken reasonable steps to prevent bribery;
- F. International commercial opening by demonstrating the commitment and compliance with the anti-bribery management system.
- G. Reduction of the risk bribes.
- H. Demonstrate to its management system, employees, owners, founders, clients and other business associates that it has internationally recognized anti-bribery good practices controls.
- I. An increase in competitiveness, since it is an organization that carries out its activities with ethics seeking commercial success to strengthen and increase its success.
- J. Help the organization maintain, implement and improve existing controls in order to not engage in bribes or corruption;
- K. Detect promptly and prevents any suspicion related to bribery or corruption in financial and / or commercial matters;
- L. International recognition of the application of good anti-bribery practices;
- M. Among others.

What does an accredited certification in ISO 37001 not mean?

ISO 37001: 2016 does not specifically address fraud, cartels and other antitrust / competition offenses, money laundering or other activities related to corrupt practices, although an organization may choose to extend the scope of the management system to include such activities.

How does GlobalSTD communicate the Expected Outcomes?

GlobalSTD has ensured that its auditors and other certification staff are aware of the expected results and consistently reinforce them with customers.

- I. Internal training (auditors and personnel involved) according to the training program.
- II. Notification to customers from the start of the application for certification.
- III. Annual announcements for customers, related to the expectations of the program.
- IV. Campaigns for the dissemination of the expected outcomes.